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S&H Form: (2/01)
DOCKET NO. 1497.1007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

William Randolph ABERNETHY

Serial No: 09/995,712

Group Art Unit: Not Assigned

Filed: November 29, 2001

For: EVENT TRACKING SYSTEM FOR A BASKET TRADING SYSTEM

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

It is requested that the Domestic Priority data as claimed by applicant on the Official Filing Receipt be corrected. The information under the Domestic Priority data as claimed by applicant should be deleted. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

Date: 1/14/02

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/995,712	11/29/2001	2152	1352	1497.1007	9	26	9

CONFIRMATION NO. 2609

21171
STAAS & HALSEY LLP
700 11TH STREET, NW
SUITE 500
WASHINGTON, DC 20001

FILING RECEIPT



OC000000007205916

Date Mailed: 12/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

William Randolph Abernethy, Agua Dulce, CA;

Domestic Priority data as claimed by applicant

~~THIS APPLN CLAIMS BENEFIT OF 60/110,524 12/01/1998~~

Foreign Applications

If Required, Foreign Filing License Granted 12/14/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

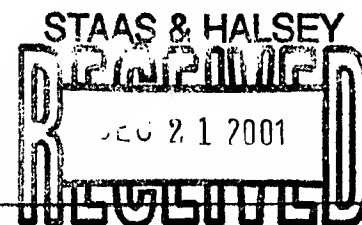
Early Publication Request: No

Title

State tracking system for a basket trading system

Preliminary Class

709



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